

DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

The specification of which			•	
a. X is attached hereto.		,		
b. was filed on as	Application Serial No.	, which I have review	red and for which I solicit a United States	
patent.	10 A			
I hereby state that I have rev claims, as amended by any a			-identified specification, including the	
eramine, as americae ey any a				
I acknowledge the duty to di	sclose information which	is material to the pate	ntability of this application in accordance	
with Title 37, Code of Feder	al Regulations, § 1.56 (at	ttached hereto).		
I hereby claim foreign priori	ity benefits under Title 35	United States Code	§ 119/365 of any foreign application(s)	
			w any foreign application for patent or	
			e basis of which priority is claimed:	
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a. 🔼 no such applications l	nave been filed.			
b. such applications hav			•	
FORE	CIGN APPLICATION(S), IF ANY, C	CLAIMING PRIORITY UNDE	R 35 USC § 119	
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5; 4≈1	FORFICN APPLICATION(S) IF ANY CLAIMING PRIORITY INDER 35 USC 8 119					
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)			
	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)			
COUNTRY APPLICATION NUMBER DATE OF FILING (day, month, year) DATE OF ISSU (day, month, year)						

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999



Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.
Foley & Lardner
2029 Century Park East - Suite 3500
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2 Of Inventor REDDING Residence & City Newport Beach Post Office Address I Post Office Post Office Address 132 Baypoint Dr. Full Name Post Office Address 132 Bayroff Dr. Residence Residence Reduce		Full Name	Family Name	First Given Name		Second Given Name
& Citizenship Residence Address Full Name Post Office Address City State & Zip Code/Country Citizenship Post Office Address City Rewport Beach Date: Full Name Activessing City Post Office Address City Residence Activessing Post Office Address City State or Foreign Country Country of Citizenship California City Post Office Address City State or Foreign Country California City State or Foreign Country California Date: Activess State or Foreign Country California California Date: Activess State or Foreign Country California California California Date: Activess State or Foreign Country California Country of Citizenship NMI Country of Inventor California Country of Citizenship NMI Country of Citizenship NMI Country of Citizenship NMI Country of Citizenship New Delhi State or Foreign Country Address City State & Zip Code/Country Address City State & Zip Code/Country Country of Citizenship India Signature of Inventor 203: Full Name Citizenship Fundly Name First Given Name Second Given Name NMI Country of Citizenship Address City State or Foreign Country Country of Citizenship India Country of Citizenship Lake Forest City State & Zip Code/Country Country of Citizenship Lake Forest City State & Zip Code/Country California State or Foreign Country Country of Citizenship Lake Forest City State & Zip Code/Country California St	2	Of Inventor	REDDING	MARK	:	E:
& Citizenship Residence Address Full Name Post Office Address City State & Zip Code/Country Citizenship Post Office Address City Rewport Beach Date: Full Name Activessing City Post Office Address City Residence Activessing Post Office Address City State or Foreign Country Country of Citizenship California City Post Office Address City State or Foreign Country California City State or Foreign Country California Date: Activess State or Foreign Country California California Date: Activess State or Foreign Country California California California Date: Activess State or Foreign Country California Country of Citizenship NMI Country of Inventor California Country of Citizenship NMI Country of Citizenship NMI Country of Citizenship NMI Country of Citizenship New Delhi State or Foreign Country Address City State & Zip Code/Country Address City State & Zip Code/Country Country of Citizenship India Signature of Inventor 203: Full Name Citizenship Fundly Name First Given Name Second Given Name NMI Country of Citizenship Address City State or Foreign Country Country of Citizenship India Country of Citizenship Lake Forest City State & Zip Code/Country Country of Citizenship Lake Forest City State & Zip Code/Country California State or Foreign Country Country of Citizenship Lake Forest City State & Zip Code/Country California St		5				-
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Address 1132 Baypoigne Dr. Newport Beach California / 926607U.S.A.		& Citizenship	Newport Beach	California		
Signature of Inventor 201: Full Name First Given Name Family Name Family Name First Given Name Country of Chizenship United States of America City California United States of America City California United States of America City California Califo	}				:	- /
Full Name Family Name Family Name First Given Name LOGAN A.		Address	1132 Baypoidte Dr.		<u> </u>	California/92660/U.S.A.
2 Post Office City State or Foreign Country California United States of America 2 Post Office Post Office Address City State & Zip Code/Country California 92835/U.S.A. Signature of Inventor 202: State & Zip Code/Country California 92835/U.S.A. Date: August / Y 20. Full Name Family Name Family Name First Given Name SANDEEP NMI Residence City State & Zip Code/Country California 92835/U.S.A. Post Office Post Office Address City State or Foreign Country Country of Citizenship India India Post Office Post Office Address City State or Foreign Country India Signature of Inventor 203: Full Name Family Name Family Name First Given Name New Delhi India First Given Name First Given Name New Delhi India First Given Name First Given Name HEMANT NMI O Residence City State or Foreign Country India Full Name Family Name Family Name First Given Name HEMANT NMI O Residence City State or Foreign Country Country Of Citizenship India Post Office Post Office Address City State or Foreign Country Country Of Citizenship India O Residence City State or Foreign Country Country Country Of Citizenship India O Residence City State or Foreign Country California India O Residence City State Office Address City State Office Address City State Office Post Office Post Office Address City State Office Of	j	,	01: 1		Date:	16/06
Residence City State or Foreign Country Country of Citizenship United States of America	1	Full Name	Family Name	First Given Name	: ,	Second Given Name
& Citizenship Pullerton California United States of America City Post Office Post Office Post Office Address 3401 Pueme St. Signature of Inventor 202: Full Name City Full Name City Full Name Earnly Name City State or Foreign Country India India Signature of Inventor 203: Full Name Furst Given Name First Given Name First Given Name Date: Full Name Of Inventor Earnly Name First Given Name HEMANT NMI Residence Earnly Name Country of Citizenship India Date: City State or Foreign Country Country of Citizenship India Country of Citizenship Lake Forest California California Country of Citizenship India State or Foreign Country Country of Citizenship India Country of Citizenship Lake Forest California City State & Zip Code/Country Country of Citizenship India Country of Citizenship Lake Forest California City State & Zip Code/Country California California 92630/U.S.A.			BADIA	LOGAN	•	A .
& Citizenship Pullerton California United States of America City Post Office Post Office Post Office Address 3401 Pueme St. Signature of Inventor 202: Full Name City Full Name City Full Name Earnly Name City State or Foreign Country India India Signature of Inventor 203: Full Name Furst Given Name First Given Name First Given Name Date: Full Name Of Inventor Earnly Name First Given Name HEMANT NMI Residence Earnly Name Country of Citizenship India Date: City State or Foreign Country Country of Citizenship India Country of Citizenship Lake Forest California California Country of Citizenship India State or Foreign Country Country of Citizenship India Country of Citizenship Lake Forest California City State & Zip Code/Country Country of Citizenship India Country of Citizenship Lake Forest California City State & Zip Code/Country California California 92630/U.S.A.						
Post Office Post Office Address 2 :: Post Office Post Office Address 3401 Pueme St. Signature of Inventor 202: Page Table Full Name Family Name 1	0 []	Residence	City	State or Foreign Country	:	Country of Citizenship
Address 3401 Pueme St. Pullerton California 92833/U.S.A. Signature of Inventor 202: Fagur Factor Full Name Family Name I HANDA Residence City Address Post Office Address Country Signature of Inventor 203: Full Name Family Name Post Office Post Office Address Post Office Address City State or Foreign Country India Signature of Inventor 203: Full Name Family Name First Given Name Post Office Post Office Address City State & Zip Code/Country Date: Full Name Family Name Priest Given Name First Given Name First Given Name First Given Name Second Given Name Nomi Date: Country of Cittzenship India California Country Country of Cittzenship India O Residence City State or Foreign Country Country of Cittzenship Lake Forest California India 1 Post Office Post Office Address City State & Zip Code/Country California 92630/U.S.A.		& Citizenship	Fullerton	California	,	
Signature of Inventor 202: Full Name Family Name HANDA State or Foreign Country Country of Citizenship India India India Post Office Post Office Address 26, Aroha Kunj, Sector-13, Rohini Signature of Inventor 203: Full Name Family Name Family Name First Given Name NMI Date: August 14 20. First Given Name Second Given Name NMI Country of Citizenship India India India India India Signature of Inventor 203: Full Name Family Name First Given Name HEMANT NMI Residence City State or Foreign Country Name NMI Residence City State or Foreign Country Country of Citizenship India Residence City State or Foreign Country Country of Citizenship India Post Office Post Office Address City State & Zip Code/Country California India Post Office Post Office Address City State & Zip Code/Country California 92630/U.S.A.	2 ==	Post Office	Post Office Address	City		State & Zip Code/Country
Full Name Family Name Family Name First Given Name Second Given Name NMI Residence City State or Foreign Country Country of Citizenship India India Post Office Post Office Address 26, Aroha Kunj. Sector-13. Rohini New Delhi India Signature of Inventor 203: Date: Full Name Family Name Family Name First Given Name Second Given Name NMI Full Name City State & Zip Code/Country Name Country Country Country Full Name City State City Country Country Country Country of Citizenship Lake Forest California India Post Office Post Office Post Office Address City State & Zip Code/Country Lake Forest California State & Zip Code/Country Lake Forest California Cali	=	Address	3401 Pueme St.	1		
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2	Te St Land		Family Name	First Given Name		Second Given Name
Residence City State or Foreign Country Country of Citizenship India Residence & Citizenship New Delhi India India Post Office Post Office Address City State & Zip Code/Country India Signature of Inventor 203: Full Name Family Name Family Name First Given Name HEMANT NMI Residence City State or Foreign Country Country of Citizenship Lake Forest California India Residence Post Office Address City State or Foreign Country California State & Zip Code/Country California State & Zip Code/Country California 92630/U.S.A.			1 -	•		NMI
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Post Office Post Office Address City State & Zip Code/Country India Signature of Inventor 203: Full Name Family Name Of Inventor SHARMA Residence City State or Foreign Country Country India Residence City State or Foreign Country Country of Citizenship Lake Forest California India Post Office Post Office Address City State Forest California State & Zip Code/Country California 92630/U.S.A.			City	State or Foreign Country	:	Country of Citizenship
Address 26, Aroha Kunj. Sector-13, Rohini New Delhi India Signature of Inventor 203: Full Name Family Name Of Inventor SHARMA Of Residence City State or Foreign Country Country of Citizenship E Citizenship Lake Forest California India Post Office Post Office Address City State & Zip Code/Country Address 26571 Normandale Drive Lake Forest California 92630/U.S.A.		& Citizenship	New Delhi	India		India
Signature of Inventor 203: Full Name	3	Post Office	Post Office Address	City	:	State & Zip Code/Country
Full Name Family Name First Given Name Second Given Name 2 Of Inventor SHARMA HEMANT NMI 0 Residence City State or Foreign Country Country of Citizenship & Citizenship Lake Forest California India 4 Post Office Post Office Address City State & Zip Code/Country Address 26571 Normandale Drive Lake Forest California 92630/U.S.A.		Address	26, Aroha Kunj. Sector-13, Rohini	New Delhi		India
Of Inventor SHARMA HEMANT NMI Residence & City & Country of Citizenship Lake Forest California City State or Foreign Country California India Post Office Post Office Address City Address 26571 Normandale Drive Lake Forest California California 92630/U.S.A.	Signi	ature of Inventor 2	03:		Date:	
Of Inventor SHARMA HEMANT NMI Residence & City & Country of Citizenship Lake Forest California City State or Foreign Country California India Post Office Post Office Address City Address 26571 Normandale Drive Lake Forest California California 92630/U.S.A.		Full Name	Family Name	First Given Name		Second Given Name
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& Citizenship Lake Forest California India Post Office Post Office Address City State & Zip Code/Country Address 26571 Normandale Drive Lake Forest California 92630/U.S.A.						<u>.</u>
4 Post Office Post Office Address City State & Zip Code/Country Address 26571 Normandale Drive Lake Forest California 92630/U.S.A.	0	Residence	City	State or Foreign Country		Country of Citizenship
Address 26571 Normandale Drive Lake Forest California 92630/U.S.A.		& Citizenship	Lake Forest	California		India
	4	Post Office	Post Office Address	City		State & Zip Code/Country
Signature of Inventor 204: Date:		Address	26571 Normandale Drive	Lake Forest	,	California 92630/U.S.A.
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	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	CHOPRA	SANJAY		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	New Delhi	India		India
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	Address	C/o Viman Software India Pvt. Ltd., GF, STP, NSIC	New Delhi		India
		Complex, NSIC Bhawan, Okhla Industrial Estate			•
Sign	ature of Inventor 2	05:		Date:	
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	DUVVOORI	VIKRAM		NMI
)	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Salinas	California		India
5	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	17561 Vierra Cyn Rd # 140	Salinas	<u> </u>	California 93907/U.S.A.
Sign	ature of Inventor 2	06:		Date:	
	Full Name	Family Name	First Given Name		Second Given Name
2	Öf Inventor	RAMAMOORTHY	SHANKAR		NMI
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	& Citizenship	Santa Cruz	California		India
7	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	902 Hanover Street	Santa Cruz		California 95062 U.S.A.
?:	ature of Inventor 2	07:		Date:	
orgu	11				
	Full Name	Family Name	First Given Name		Second Given Name
	10000	Family Name TRIPATHY	First Given Name AJAY		Second Given Name NMI
2	Full Name	1			
2	Full Name	ТКІРАТНУ	AJAY		NMI
2	Full Name Of Inventor Residence	TRIPATHY	AJAY State or Foreign Country		NMI Country of Citizenship
2	Residence	TRIPATHY City New Delhi	AJAY State or Foreign Country India		NMI Country of Citizenship India

§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
 or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

United States Patent Application

DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

The specification of which a. is attached hereto. b. was filed on as Application Serial No., which I have reviewed and for which I sol	licit a United States
patent. I hereby state that I have reviewed and understand the contents of the above-identified specification.	n, including the
claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application.	ation in accordance
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for patent or inventor's certificate listed below and have also identified below any foreign applicat inventor's certificate having a filing date before that of the application on the basis of which priori	ity is claimed:
a. Sho such applications have been filed. b. Such applications have been filed as follows:	
FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119	

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119					
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)		
	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)		
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U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999

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Attorney Docket No. 230074.0228

Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.
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2029 Century Park East - Suite 3500
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

- 1	Fůll Nume	Family Name	First Given Name	Second Given Name
	Of Inventor	REDDING	MARK	E.
	Residence	City	State or Foreign Country	Country of Chizenship
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- 1	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	1132 Baypointe Dr.	Newport Death	California 92660/U.S.A.
	ature of Inventor 2	01:	n	Me:
7	Full Name	Family Name	First Given Name	Second Civen Name
	Of Inventor	BADIA	LOGAN	Α.
	Residence	City	State or Foreign Country	Country of Citizenship
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	Address	3401 Puente St.	Fullerton	California 92835/U.S.A.
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	Full Name	Family Name	First Given Name	Second Given Name
	Of laventor	HANDA	SANDEEP	IMM
	Residence	City	State or Foreign Country	Country of Chizenship
	Leonaire	1 *	India	India
	& Citternship	New Deini	200	
	1		City	State & Zip Code Country
	& Citteenship	Post Office Address		State & Zip Code/Country
İ	& Citizenship Peri Office	Post Office Address 26, Aroto Kunj. Secon-13, Robini	City New Dethi	
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i gr	& Citteenship Post Office Address mature of Inventor Full Name	Post Office Address 26, Arota Kunj. Sector-13. Robini 203: Sandee p Handa Family Name	City New Dethi First Given Name	India Pate: OS 22 200 c- Second Given Name NMI Country of Citizenship
	& Citteenship Post Office Address nature of Inventor : Full Nume Of Inventor	Post Office Address 26. Arota Kunj. Secont-13. Robini 293: Sandee p Handa Family Name SILARMA	City New Dethi First Given Name HEMANT	India Pate: OS 22 200 c- Second Given Name NMI Country of Citizenship India
	& Citteenship Post Office Address nature of Inventor : Full Nume Of Inventor Residence	Post Office Address 26. Arom Kunj. Secur-13. Robini 293: Sandee phanda Family Name SILARMA City	City New Dethi First Given Name HEMANT State or Foreign Country	India Pate: OS 22 200 c. Second Given Name NMI Country of Chizenship India State & 71p Code/Country
;	& Citizenship Post Office Address nature of Inventor Full Nume Of Inventor Residence & Citizenship	Post Office Address 26. Arota Kunj. Sector-13. Robini 203: Sandee o Handa Family Name SILARMA City Lake Forest	City New Dethi First Given Name HEMANT State or Foreign Country California	India Pate: OS 22 200 c- Second Given Name NMI Country of Citizenship India

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	Full Name	Family Name	First Given Name		Second Given Name	
2	Of Inventor	CHOPRA	SANJAY		NMI	
0	Residence	City	State or Foreign Country		Country of Citizenship	
	& Citizenship	New Delhi	India		India	
5	Post Office	Post Office Address	City		State & Zip Code/Country	
	Address	C/o Viman Software India Pvt. Ltd., GF, STP, NSIC	New Delhi		India	
		Complex, NSIC Bhawan, Okhla Industrial Estate				
Sign	ature of Inventor 2	05:		Date:		
	Full Name	Family Name	First Given Name	·	Second Given Name	
2	Of Inventor	DUVVOORI	VIKRAM		NMI	
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6	Post Office	Post Office Address	City		State & Zip Code/Country	
	Address	17561 Vierra Cyn Rd # 140	Salinas		California 93907/U.S.A.	
Sign	ature of Inventor 2	06:		Date:		
	Full Name	Family Name	First Given Name		Second Given Name	
2	Of Inventor	RAMAMOORTHY	SHANKAR		NMI	
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	Address	902 Hanover Street	Santa Cruz		California 95062 U.S.A.	
Sign	ature of Inventor 2	07:		Date:		
	Full Name	Family Name	First Given Name	<u> </u>	Second Given Name	
2	Of Inventor	TRIPATHY	AJAY		NMI	
0	Residence	City	State or Foreign Country		Country of Citizenship	
	& Citizenship	New Delhi	India	<u>.</u>	India	
8	Post Office	Post Office Address	City		State & Zip Code/Country	
	Address	61-C, Pocket A11, DDA FLATS, Kalkaji Ext.	New Delhi		India	
Sign	ature of Inventor 2	08:		Date:		

§ 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (E) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

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- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

DATE OF ISSUE (day, month, year)

United States Patent Application

DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

The specification of white a. is attached hereton was filed on patent.).	, which I have reviewed and	for which I solicit a United States
	e reviewed and understand the any amendment referred to about		ed specification, including the
	to disclose information which is Federal Regulations, § 1.56 (att		of this application in accordance
for patent or inventor's		ve also identified below any for	65 of any foreign application(s) oreign application for patent or of which priority is claimed:
a. Ano such applications b. Such applications	ons have been filed. have been filed as follows:		
II.	FOREIGN APPLICATION(S), IF ANY, C	LAIMING PRIORITY UNDER 35 USC	3 119
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international
application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed
in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code,
§ 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §
1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing
date of this application.

DATE OF FILING

(day, month, year)

ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)

APPLICATION NUMBER

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999

(=) COUNTRY Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq. Foley & Lardner 2029 Century Park East – Suite 3500 Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name	Sec	cond Given Name
2	Of Inventor	REDDING	MARK	E.	
D	Residence	City	State or Foreign Country	Co	untry of Citizenship
	& Citizenship	Newport Beach	California	Un	ited States of America
1	Post Office	Post Office Address	City	Sta	te & Zip Code/Country
	Address	1132 Baypointe Dr.	Newport Beach	Cal	lifornia 92660/U.S.A.
Sign	atare of Inventor 2	201:		Date:	
	Full Name	Family Name	First Given Name	Sec	cond Given Name
2	Of Inventor	BADIA	LOGAN	A.	
0	Residence	City	State or Foreign Country	Co	untry of Citizenship
	& Citizenship	Fullerton	California	Un	ited States of America
2	☐Post Office	Post Office Address	City	Sta	te & Zip Code/Country
	Address	3401 Puente St.	Fullerton	Cal	lifornia 92835/U.S.A.
Sign	atore of Inventor 2	202:		Date:	
	Full Name	Family Name	First Given Name	Sec	cond Given Name
2	Of Inventor	HANDA	SANDEEP .	NN	Л І
0	Residence	City	State or Foreign Country	Co	untry of Citizenship
	& Citizenship	New Delhi	India	Ind	lia
3	Post Office	Post Office Address	City	Sta	te & Zip Code/Country
	Address	26, Aroha Kunj, Sector-13, Rohini	New Delhi	Ind	
Sign	ature of Inventor 2	203:		Date:	
	Full Name	Family Name	First Given Name		cond Given Name
2	Of Inventor	SHARMA	HEMANT	NN	∕II .
)	Residence	City	State or Foreign Country	Co	untry of Citizenship
	& Citizenship	Lake Forest	California	Ind	
4	Post Office	Post Office Address	City	Sta	te & Zip Code/Country
	Address	26571 Normandale Drive	Lake Forest	Cal	lifornia 92630/U.S.A.
	ature of Inventor 2			Date:	

Attorney Docket No. 230074.0228

	Full Name Of Inventor	Family Name CHOPRA	First Given Name SANJAY		Şecond Given Na NMI	nae
-	Residence & Chizenship	City New Delhi	State or Foreign Country India		Country of Citize India	nship
	Post Office Address	Post Office Address C/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate	City New Delhi :		State & Zip Code India	Country
igna	ature of Inventor 2	OS:	·.	Date:		
	Full Name Of Inventor	Family Name DUVVOORI	First Given Name VIKRAM	<u>.</u> :	Second Given Na NMI	me i
)	Residence & Citizenship	City Salinas	State or Foreign Country California		Country of Citize	nship
5	Post Office Address	Post Office Address 17561 Vierra Cyn Rd # 140	City Salinas		State & Zip Code California 93907	
•	ature of Inventor 2	206: Vikeam dunn	~ ·	Date:	ug 14,	2000
	Full Name	Family Name RAMAMOORTHY	First Given Name SHANKAR		Second Given Na NMI	me
) [Residence & Citizenship	City Santa Cruz	State or Foreign Country California		Country of Citize	nship
-	Post Office Address	Post Office Address 902 Hanover Street	City - Santa Cruz		State & Zip Cod California 95062	
•	ature of Inventor	207: SCILL Lacemann	2	Date: Aw	14, 20	ट टी
į	Full Name	Family Name TRIPATHY	First Given Name AJAY		Second Given No NMI	ime
*	Residence	Clty New Delhi	State or Foreign Country India		Country of Citiz	enship
8	Post Office Address	Post Office Address 61-C. Pocket A11, DDA FLATS, Kalkaji Ext.	City New Delhi		State & Zip Cod India	c/Country
Sign	nature of Inventor	208:		Date:		:

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 or
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United States Patent Application

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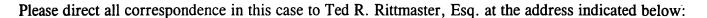
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# FORE	IGN APPLICATION(S), IF ANY, C	LAIMING PRIORITY UNDER 35 USC §	119	
b. Isuch applications have	e been filed as follows:			
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		the application on the basis of		
			oreign application for patent or	
I herābu claim foreign priori	ty benefits under Title 35	United States Code & 110/3	65 of any foreign application(s)	
with Title 37, Code of Feder	al Regulations, § 1.56 (att	ached hereto).		
			of this application in accordan	ıce
,				
claims, as amended by any a			or opcomouncin, moracing are	
I hereby state that I have rev	iewed and understand the	contents of the above-identifi	ed specification, including the	
patent.				
	Application Serial No.	, which I have reviewed and	for which I solicit a United Sta	ites
a. \boxtimes is attached hereto.				
The specification of which				

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119						
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)			
10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)			
COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, month, year)						

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I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999



Ted R. Rittmaster, Esq.
Foley & Lardner
2029 Century Park East - Suite 3500
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	REDDING	MARK		E.
	Of Inventor	REDDING	WARK .		E.
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Newport Beach	California		United States of America
1	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	1132 Baypointe Dr.	Newport Beach		California 92660/U.S.A.
Signa	atgre of Inventor 2	01:		Date:	
	بالله Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	BADIA	LOGAN ,		Α.
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Fullerton	California		United States of America
2	Post Office	Post Office Address	City		State & Zip Code/Country
	Äddress	3401 Puente St.	Fullerton		California 92835/U.S.A.
Signa	ature of Inventor 2			Date:	
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	HANDA	SANDEEP		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	New Delhi	India		India
3	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	26, Aroha Kunj, Sector-13, Rohini	New Delhi		India
Signa	ature of Inventor 2	03:		Date:	
	Full Name	Family Name	First Given Name		Second Given Name
2	Of Inventor	SHARMA	HEMANT		NMI
0	Residence	City	State or Foreign Country		Country of Citizenship
	& Citizenship	Lake Forest	California		India
4	Post Office	Post Office Address	City		State & Zip Code/Country
	Address	26571 Normandale Drive	Lake Forest		California 92630/U.S.A.
Signa	ature of Inventor 2	04:		Date:	

Attorney Docket No. 230074 0228

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P. 04

Attorney Docket No. 230074.0228

1	Full Name Of Inventor	Family Name CHOPEA	First Given Name SANIAY		Second Giren Name NM1
0	Residence & Citizenship	Clly New Delni	State or Foreign Country India		Country of Citieenship
5	Post Office Address	Port Office Address C/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate	Cky New Delhi		State & Zip Code/Country India
Steri	inture of Inventor 2	OS: (theps.	···	Date: O	3 22 2000
2	Full Name Of Investor	Family Name DUVVOORI	First Given Name VIKRAM		Second Given Name NMI
D	Reddence & Citizenship	City Salinas	State or Foreign Country California		Country of Cittaenship
6	Part Office	Fort Office Address 17561 Victra Cyn Rd # 140	Ctry Salines		State & Zip Code/Country California 93907/U.S.A.
Ste	nature of Inventor	06:		Date:	
2	Full Name Of Inventor	Family Name RAMAMOORTHY	First Given Name SHANKAR	:	Second Given Numa NMI
0 .	Residence & Citizenship	City Sents Cruz	State or Foreign Country California		Country of Chiremble
7	Post Office Address	Post Office Address 902 Hanover Street	City Santa Crux		State & Zip Code/Country California 95062 U.S.A.
82,	pusture of Inventor	207:		Dates	
2	Full Name Of Inventor	Family Name TRIPATHY	First Given Name AJAY	·	Second Given Name NMI
0	Residence & Chizenship	City New Delhi	State or Fareign Country India		Country of Citizenship India
6	Post Office Address	Post Office Address 61-C, Pricket All, DDA FLATS, Kalkeji Ext.	City New Delhi		State & Zip Code/Country India
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P. 03

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P.04

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- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mark Redding et al.

Examiner:

Unassigned

Serial No .:

Unassigned

Group Art Unit:

Unassigned

Filed:

Concurrently herewith

Docket No.:

230074.0228

Title:

LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING

POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR UNDER RULE 37 CFR § 3.71

The undersigned, Walter Straub, is a representative authorized to sign on behalf of the assignee of the entire interest in the above-identified subject application, RAINBOW TECHNOLOGIES, INC., and hereby appoints:

To:

[]

N

П

Foley & Lardner

David A. Blumenthal

Reg. No. 26,257

Ted R. Rittmaster

Reg. No. 32,933

Ronald Coslick

Reg. No. 36,489

Glenn M. Kubota

Reg. No. 44,197

all of the firm of Foley & Lardner, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventor and his attorney in accordance with the provisions of Rule 32 of the Patent Office Rules of Practice.

RAINBOW TECHNOLOGIES, INC., per 37 C.F.R. § 3.73(b), certifies that the evidentiary documents with respect to its ownership have been reviewed and that to the best of the undersigned's knowledge and belief, title is in the assignee seeking this action.

RAINBOW TECHNOLOGIES, INC., declares that 100% ownership is established by the assignment filed for recordation herewith, a copy of which is attached.

Please direct all telephone calls to 310-277-2223 and all correspondence relative to said application to the following address:

Ted R. Rittmaster **FOLEY & LARDNER Suite 3500** 2029 Century Park East Los Angeles, CA 90067-3021

ASSIGNEE:

RAINBOW TECHNOLOGIES, INC.

Signature: Typed Name: Walter Straub Title: Chief Executive Officer Address: 50 Technology Drive Irvine, CA 92618

August 15, 2000 Date:

FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94) Docket No. 230074.0228

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

		T	
To the Commission	oner of Patents and Trademarks: Please record the attached original documents	or copy thereof.	
1. Name o	f conveying party(ies):	2. Name and address of receiving party(ies)):
(1) M: (2) Lo (3) Sa (4) He (5) Sa (6) Vi (7) Sh	ark Redding ogan Badia ndeep Handa emant Sharma njay Chopra kram Duvvoori ankar Ramamoorthy ay Tripathy	Rainbow Technologies, Inc. 50 Technology Drive Irvine, California 92618	3c857 U.S. PTO 09/648720
Additional name(s	e) of conveying party(ies) attached? Yes No	Additional name(s) & address(es) attached? ☐ Yes ☒ No	• • •
3. Nature Ass	of conveyance: ignment Werger urity Agreement Change of Name	Additional manie(s) to addition(to) analysis.	
(3) 08/22/0	Date: (1) 08/16/00; (2) 08/14/00; 00; (4) 08/14/00; (5) 08/22/00; (6) 08/14/00; 00; 08/22/00.		
4. Applica	ition number(s) or patent number(s):	·	
If this d	locument is being filed together with a new app 16/00; (2) 08/14/00; (3) 08/22/00; (4) 08/14/00	plication, the execution date of the applicatio 00; (5) 08/22/00; (6) 08/14/00; (7) 08/14/00;	n is:
A. Patent	Application No.(s)	B. Patent No.(s)	
		, -	
	Additional numbers attach		
5. Name a concerning	nd address of party to whom correspondence document should be mailed:	6. Total number of applications and patents	s involved
Name: Address:	Ted R. Rittmaster Foley & Lardner 2029 Century Park East Suite 3500 Los Angeles, CA 90067-3021	 7. Total fee (37 CFR 3.41): \$40.00 Enclosed. Authorized to be charged to depactor. 8. Please charge any additional fees or cred overpayments to our Deposit account nu 50-0872 	dit any
	DO NOT USE T	HIS SPACE	
9. Stateme	ent and signature:		
To the bes	et of my knowledge and belief, the foregoing in opy of the original document.	nformation is true and correct and any attache	ed copy
Glenn M. Name of F	Kubota Person Signing Signature	August 25, 2000 Date	
Mail docur	nents to be recorded with required cover sheet information to: Commissioner of Pater Box Assign		document: 25
document a Patent and	Washington, I den reporting for this sample cover sheet is estimated to average about and gathering the data needed, and completing and reviewing the sample Trademark Office, Office of information systems, PK2-1000C, Wash Reduction Project (0651-0011), Washington, D.C. 20503.	D.C. 20231 t 30 minutes per document to be recorded, including time for review only cover sheet. Send comments regarding this burden estimate to the cover sheet.	the U.S.

015.448317.1

ASSIGNMENT

WHEREAS, we, Mark Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Vikram Duvvoori, residing at 17561 Vierra Cyn Rd #140, Salinas, California 93907; Shankar Ramamoorthy, residing at 902 Hanover Street, Santa Cruz, California 95062; and Ajay Tripathy, residing at 61-C, Pocket A11, DDA FLATS, Kalkaji Ext., New Delhi, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD WITH LICENSE BALANCING;

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

Attorney Docket No. 230074.0228

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6th day of Mark Redding

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14 +6 day of

Logan Badia

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		Hemant Sharma	·
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	Shankar Ramamoorthy
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	Ajay Trinathy

ASSIGNMENT

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	Ajay Tripathy

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Shankar Ramamoorthy
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Ajay Tripathy

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· ·	Mark Redding
IN TESTIMONY WHEREOF,, 2000.	I have hereunto set my hand thisday o
	Logan Badia

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Attorney Docket No. 230074.0228

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